SARAL ACCOUNT OPENING FORM

For Individual

BCB BROKERAGE PRIVATE LIMITED

DP of Central Depository Services (India) Limited (CDSL)

Depository Participant (DP) SEBI Regn No. IN-DP-438-2019 DP ID: - 12010400

Regd. Off. Address: 1207-A, P.J. Towers, Dalal Street, Fort, Mumbai – 400001

Corp. Off. Address: 171-174, 17th Floor, Atlanta Building, 209 Jamnalal Bajaj Marg, Nariman Point, Mumbai – 400021

Tel. No.: - +91-22-2272 0000 / 2222, **Email ID**: - bbplmumbai@bcbbrokerage.com

Compliance Officer: Mr. Manish Mourya, **Email Id:** complianceofficer@bcbbrokerage.com, **Tel. No.** 022-22720000

For any grievance/dispute please contact **BCB BROKERAGE PRIVATE LIMITED** at the above address or

Email Id – investorgrievance@bcbbrokerge.com

BO ID	**:**	**1**	**2**	**0**	**1**	**0**	**4**	**0**	**0**								
Sole / First Holder's Name	**:**																
Second Holder's Name	**:**																
Third Holder's Name	**:**																

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SARAL ACCOUNT OPENING FORM FOR RESIDENT INDIVIDUALS TRADING IN CASH

SEGMENT I KYC - Please fill this form in BLOCK LETTERS.

A. IDENTITY DETAILS

PHOTOGRAPHP

lease affix your recent passport size photograph and sign across it

1. **Name of the Applicant:** ____
2. **Father's/ Spouse Name:** ____
3. **a. Gender:** Male/ Female **b. Marital status:** Single/ Married

   c. Date of birth: ________________
   (dd/mm/yyyy)
4. **Nationality:** ____________________
5. **a. PAN:** _____________________ **b. Aadhaar Number**, if any: __________________________
6. **Specify the proof of Identity submitted:** ____________________________________

B. ADDRESS DETAILS

1. **Residence/ Correspondence Address:** ____

   ____City/town/village: ____________________

   Pin Code: _____________State: ______________________Country: ______________________
2. **Contact Details**: Tel. (Off.) _____________Tel. (Res.) ____________Mobile No.: ____________

   Fax: _________________Email id: ____________________________________
3. **Permanent Address** (if different from above address): ___________________________________

   ____City/town/village: ____________________

   Pin Code: _____________State: ______________________Country: ________________
4. **Specify the proof of address submitted for residence/correspondence /permanent address:**

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

_______________________Signature of the Applicant Date: (dd/mm/yyyy)

☐ Originals verified and Self-Attested Document copies received (……………………………………..)

Name & Signature of the Authorised Signature

Seal / Stamp of the intermediary

Date ………………….

I I OTHER DETAILS:

1. **Bank account details:**

Bank Name	
Branch address	
Bank account no.	
Account Type: Saving / Current	
MICR Number	
IFSC code	

2. **Demat account details:(In case the client does not have DP account, this column may be crossed)**

Depository Participant Name	
NSDL/CDSL	
Beneficiary name	
DP ID	
Beneficiary ID (BO ID)	

3. **Whether DP account is also to be opened with the same intermediary (Yes/No)**

4. **Trading Preferences: Please sign the relevant boxes where you wish to trade.**

Exchange	Signature	Exchange	Signature	Exchange	Signature
NSE		**BSE**		**MCX-SX**	

5. **Mode of receiving Contract Note/ Statement of Account: Physical / Electronic (Please indicate your preference) ....**

6. **Standing instructions to receive credits automatically into my BO account (Yes/No)**

7. **Nomination details (Name, PAN, Address and Phone no. of nominee); relationship with the nominee (If nominee is a minor, details of Guardian like name, address, phone no. and signature of Guardian may be obtained)**

have understood the contents of policy and procedures document, tariff sheet, 'Rights and Obligations' document and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

________________________________ **Signature of the Applicant**

Date ____________________(dd/mm/yyyy)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: ________________________

DP name	NSDL/CDSL	Beneficiary name	DP ID	BO ID

	Documents Verified with Originals	Client Interviewed By/Approved by	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

……………………………………….. **Signature of the Authorised**

Signatory

Date …………………. **Seal/Stamp of the stock broker**

NOTE: This form is applicable for individual investors trading in the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.

Additional information to be obtained along with the SARAL Account Opening Form for Resident Individuals

(Annexure 2.8 of CDSL Operating Instructions as on March, 2026)

(To be filled by the Depository Participant)

Application No.	Date	DP Internal Reference No.

DP ID	1	2	0	1	0	4	0	0	Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details: -

Holders Details

Sole / First Holder's Name		PAN	
		UID	**(Last 4 Digits Only)**
UCC		EXCHANGE NAME AND ID	
Second Holder's Name		PAN	
		UID	**(Last 4 Digits Only)**
Third Holder's Name		PAN	
		UID	**(Last 4 Digits Only)**

Name*	
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub – Status
☐ Individuals	☐ Individual Resident

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	☐ Yes ☐ No
Account Statement Requirement	☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID ______________________________	☐ Yes ☐ No
I / We would like to share the email ID with the RTA	☐ Yes ☐ No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be Physical)	☐ Physical ☐ Electronic ☐ Both Physical & Electronic

I/ We wish to receive dividend / interest directly in to my bank account as given in SARAL AOF through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	☐ Yes ☐ No

Other Details	
Gross Annual Income Details	Income Range per annum: ☐ Up to Rs. 1,00,000 ☐ 1,00,000 to Rs.5,00,000 ☐ Rs.5,00,000 to Rs. 10,00,000 ☐ 10,00,000 to Rs. 25,00,000 ☐ More than Rs.25,00,000
	Net worth as on (Date) | | Rs.
	[Net worth should not be older than 1 year]
Occupation	☐ Private / Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculture ☐ Retired ☐ Housewife ☐ Student ☐ Others (Specify) ____________________
Please tick, if applicable:	☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP)
Any Other Information	

SMS Alert Facility Refer to Terms & Conditions given as **Annexure - 2.4**	Mobile No.	+91											☐ Yes ☐ No
	[(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).												
Easi	To register for ***e***asi, please visit our website www.cdslindia.com. ***Easi*** allows a BO to view his ISIN balances, transactions and value of the portfolio online.												☐ Yes ☐ No

$I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	**Second Holder**	**Third Holder**
Name			
Signature(s)			
Place:			
Date:			

(Signatures should be preferably in black ink).

Nomination Details

☐ I/We hereby confirm that I/We **do not wish to appoint any nominee in my demat account** and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the demat account.

	First/Sole Holder or Guardian (in case of Minor)	**Second Holder**	**Third Holder**
Name			
Signature			

Note:
Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination / / opt out nomination -

☐ I/We wish to make nomination and do here by nominate the following person (s) who shall receive all the assests held in my/our account, in the event of my / our death.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name			
First Name *			
Middle Name			
Last Name *			
Percentage of allocation of securities * ☐ Equally *(if not equally, please specify percentage)* OR ☐ Share of each nominee	%	%	%
Any odd lot after division shall be transferred to the first nominee mentioned in the form			
Nomination Identification Details – *[Please tick any one of following and provide details of same]*	Nominee 1	Nominee 2	Nominee 3
☐ Photograph &Signature ☐ PAN ☐ Aadhar ☐ Saving Bank account no. ☐ Proof of Identity ☐ Demat Account ID			
[Optional Fields]			
Address *			
City *			

State *			
PIN *			
Country *			
Mobile no/Telephone No. *[Optional Fields]*			
Email ID: *[Optional Fields]*			
FAX No. *[Optional Fields]*			
Relationship with the BO*			
Date of birth and Name of Guardian to be provided in case of minor nominee (s)			
To be filled only if nominee(s) is a minor			
Name of the Guardian of Nominee (if nominee is a minor)			
First Name *			
Middle Name			
Last Name *			
Address of the guardian of nominee *			
City *			
State *			
PIN *			
Country *			
Age			
Mobile no/Telephone No.			
Email ID:			

FAX No. *[Optional Fields]*			
Relationship of the Guardian with the Nominee *			
Guardian Identification details – *[Please tick any one of following and provide details of same]*			
☐ Photograph &Signature ☐ PAN ☐ Aadhar ☐ Saving Bank account no. ☐ Proof of Identity ☐ Demat Account ID			

*** Marked is Mandatory field**

Note
Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

	Witness Details
Name of witness	
Address of witness	
Signature of witness	

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature(s)			

(Signatures should be preferably in BLACK INK).

*** Marked is Mandatory field**

The Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

(To be filled by DP)

Nomination Form accepted and registered wide Registration No. ___________ dated________
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For BCB Brokerage Private Limited

(Authorised Signatory)

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off'' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the Confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP. I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service. I/We provide the following information for the purpose of REGISTRATION / MODIFICATION

(Please cancel out what is not applicable).

DP ID	**1**	**2**	**0**	**1**	**0**	**4**	**0**		Client ID							

Sole / First Holder's Name	
Second Holder's Name	
Third Holder's Name	

Mobile Number on which messages are to be sent	+91									
	(Please write only the mobile number without prefixing country code or zero)									
The mobile number is registered in the name of										
Email ID										
	(Please write only ONE valid email ID on which communication; if any, is to be sent)									

Signatures

Sole / First Holder	Second holder	Third Holder

Place:		Date:	